

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for use: nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

204323US0CIP

First Inventor or Application Identifier

Hirofumi UCHIMIYE

Title

DNA FRAGMENT HAVING PROMOTER FUNCTION

Assignee Name: Nissan Chemical Industries, Ltd.

Assignee Address: 7-1, 3-chome, Kanda-Nishiki-cho, Chiyoda-ku, Tokyo 101
JAPAN

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. ☒ Specification Total Sheets **27**

3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **1**

4. ☐ Oath or Declaration Total Pages **1**

a. ☐ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation / divisional w/ box 17 completed)

i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in
the prior application, see 37 C.F.R. §1.63(d)(2) and
1.33(b).

5. ☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)

6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. Specification or Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☒ Paper

c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))

8. ☐ Application Data Sheet. See 37 CFR 1.76

9. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)

10. ☐ English Translation Document (if applicable)

11. ☒ Information Disclosure
Statement (IDS)/PTO-1449 ☒ Copies of IDS
Citations (8)

12. ☐ Preliminary Amendment

13. ☒ White Advance Serial No. Postcard

14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

15. ☐ Applicant claims small entity status.
See 37 CFR 1.27

16. ☒ Other: List of Inventors' Names and Addresses
Request for Priority w/copy of PCT/IB/304
attached
Application Data Sheet

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application no.: PCT/JP99/04847

Prior application information: Examiner: N/A

Group Art Unit: N/A

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

☒ This application is a ☐ Continuation ☐ Division ☒ Continuation-in-part (CIP)
of International Application PCT/JP99/04847 Filed on October 29, 1999

☐ Which was published in English

☐ Which was not published in English

☐ This application claims priority of provisional application Serial No.

Filed

19. CORRESPONDENCE ADDRESS



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(703) 413-3000

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Name:	Norman F. Oblon	Registration No.:	24,618
Signature:		Date:	03/12/01
Name:	Daniel J. Pereira, Ph.D.	Registration No.:	45,518

Docket No.

204323US0CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Hirofumi UCHIMIYA et al

SERIAL NO: New Application

FILING DATE: Herewith

FOR: DNA FRAGMENT HAVING PROMOTER FUNCTION

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	18 - 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	1 - 3 =	0	× \$80 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$130.00
BASIC FEE				\$710.00
TOTAL OF ABOVE CALCULATIONS				\$840.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$840.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of _____ A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of **\$840.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.Norman F. Oblon
Registration No. 24,618Daniel J. Pereira, Ph.D.
Registration No. 45,518Date: 03/12/01**22850**Tel. (703) 413-3000
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(OSMMN 10/00)

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OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



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Date: 03/12/01



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hirofumi UCHIMIYA et al

FILING DATE: Herewith

FOR: DNA FRAGMENT HAVING PROMOTER FUNCTION

LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

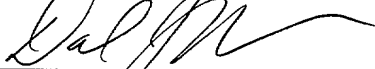
Listed below are the names and addresses of the inventors for the above-identified patent application.

- | | |
|-----------------------|--|
| 1) Hirofumi UCHIMIYA | Kizuki Jutaku 1-403, 1315, Kizuki, Nakahara-ku,
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Minamisaitama-gun, Saitama 349-0218, JAPAN |

A declaration containing all the necessary information will be submitted at a later date.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



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